



## BARBADOS CENTRAL SECURITIES DEPOSITORY INC. CLIENT APPROVAL & AUTHORISATION FOR PLEDGING OF SECURITIES AS COLLATERAL

**PLEDGOR(S):** Complete & sign four part form.  
**PLEDGEE:** Apply authorized signature and stamp. Submit to BCSDI for processing.  
**BCSDI:** Make appropriate entries required to complete the Pledge. Return copies 2, 3 & 4 to Pledgee.  
**PLEDGEE:** Return copy #2 under cover letter when releasing or realizing securities.

NATIONAL IDENTIFICATION NUMBER :	BCSDI ACCOUNT #:	DATE: (YYYY/MM/DD)
NAME OF PLEDGOR:	CONTACT PERSON AT LENDING INSTITUTION:	CONTACT # FOR LENDING INSTITUTION:
JOINT HOLDERS:	AUTHORIZED SIGNATURE AND STAMP OF LENDING INSTITUTION:	
CLIENT ADDRESS:	LENDING INSTITUTION'S ADDRESS:	

The Pledgor(s) hereby gives notice that an Agreement has been entered into with the above named Lending Institution (Pledgee) to pledge the below listed securities as collateral and hereby authorize the BCSDI to move the securities from my Account in Pledge to be held for the interest of the above named Pledgee. The Pledgor(s) confirm that the pledged securities can only be released on notification from the Lending Institution; that same be so released and thereafter placed in my Available Account. The Pledgor(s) also confirms that it is contained within the Agreement with the above-mentioned Lending Institution that in the event of a default under the Agreement, the Lending Institution shall have the right to enforce the pledged securities by way of sale. The BCSDI is therefore authorized to comply with any request made by the Lending Institution, and or its Broker, to have the securities or a specified number thereof sold in accordance with such instructions. The foregoing constitutes my irrevocable instructions and authorization to you concerning the pledge of securities in my Account to the Lending Institution.

The BARBADOS Central Securities Depository Inc.(BCSDI) hereby certifies that under written instructions received from the above named Pledgor(s) it has made appropriate entries on its books reducing the account of the above named Pledgor(s) and increasing the account of the above named Pledgee by the number of shares listed below; that all of such securities are registered in the name of a nominee of BCSDI; and that immediately prior to the making of such entries all of such securities were subject to the instructions of BCSDI and were shown on the account of the above named Pledgor(s) on the books of BCSDI. All of such securities have been pledged to the above named Pledgee by appropriate entries on the books of BCSDI and will be subjected only to the instructions of the above named Pledgee addressed to BCSDI.

<b>AUTHORIZED SIGNATURE(S) OF PLEDGOR(S)</b>  1. _____  2. _____  3. _____  4. _____	<b>AUTHORIZED SIGNATURE AND STAMP OF THE BARBADOS CENTRAL SECURITIES DEPOSITORY INC</b>
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	QUANTITY	DESCRIPTION OF BCSDI ELIGIBLE SECURITIES
1.		
2.		
3.		
4.		
5.		

Pledge Fee: \$25 for a Market Value of \$25,000 or less; and **0.20%** (up to \$25,000) on a Market Value over \$25,000

BCSDI Copy - Pledge



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Lender Copy



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Customer Copy